

# Quaternions and Reproducing kernel spaces

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## Outline of the talk:

- Positive definite functions and examples.
- Reproducing kernel Hilbert spaces and reproducing kernel Pontryagin spaces (Krein spaces will also appear).
- Some important examples (complex setting). Bargmann-Fock-Segal space, Drury-Arveson space, de Branges Rovnyak space.
- Why one can consider the quaternionic setting. Different kinds of analyticity.
- Some examples from the quaternionic setting (hypercomplex and Fueter series; slice hyperholomorphic).

## Important cases not touched upon in this talk:

Grassmann algebra, Clifford algebra and poly-slice analytic functions, ternary algebras, bicomplex numbers and others.

## Two highlights:

- The spectral theorem holds for Hermitian quaternionic matrices: if  $M \in \mathbb{H}^{n \times n}$  and such that  $H = H^*$ ,

$$M = UDU^*$$

with  $D$  diagonal with real entries and  $U$  unitary

- One has (Fueter, 1936) three non-commuting variables which are analytic.

## Positive definite functions:

The function (kernel)  $k(z, w)$  is positive definite on  $\Omega$  (*misleading but accepted terminology*) if for all choices of  $N \in \mathbb{N}$ ,  $z_1, \dots, z_N \in \Omega$  and  $c_1, \dots, c_N \in \mathbb{C}$  we have:

$$\sum_{i,j=1}^N \bar{c}_i k(z_i, z_j) c_j \geq 0.$$

For every choice of  $N \in \mathbb{N}$  and of points  $z_1, \dots, z_N \in \Omega$  the matrix

$$\begin{pmatrix} k(z_1, z_1) & k(z_1, z_2) & \cdots & k(z_1, z_N) \\ k(z_2, z_1) & k(z_2, z_2) & \cdots & k(z_2, z_N) \\ \vdots & \vdots & \ddots & \vdots \\ \vdots & \vdots & \ddots & \vdots \\ k(z_N, z_1) & k(z_N, z_2) & \cdots & k(z_N, z_N) \end{pmatrix} \geq 0.$$

## Negative squares:

One now asks that all the above matrices has at most  $\kappa < \infty$  strictly negative eigenvalues (same  $\kappa$  for *all* matrices).

### Example: Bochner's theorem

Let  $\Omega = \mathbb{R}$  and  $K(x, y) = F(x - y)$  be a complex-valued continuous function which satisfies

$$\sum_{i,j=0}^N F(x_i - x_j) c_i \bar{c}_j \geq 0 \quad \forall N \quad \forall c_i \in \mathbb{C} \quad \forall x_1, x_2, \dots, x_n \in \mathbb{R}$$

Then there exists a positive measure  $\mu$  such that

$$F(u) = \int_{\mathbb{R}} e^{-ixu} d\mu(x)$$

$F(u)$  is the Fourier transform of a positive measure.

$$\int_{\mathbb{R}} \frac{e^{itu}}{1+u^2} du = \pi e^{-|t|}, \quad t \in \mathbb{R},$$

$$\int_{\mathbb{R}} e^{itu} e^{-|u|} du = \frac{2}{1+t^2}$$

## Examples

The functions

$$e^{-|t-s|} \quad \text{and} \quad \frac{1}{1+(t-s)^2}$$

are positive definite on the real line.

## Gaussian:

$$\int_{\mathbb{R}} e^{-\frac{u^2}{2}} e^{-iut} du = \sqrt{2\pi} e^{-\frac{t^2}{2}}.$$

The function  $e^{-\frac{(t-s)^2}{2}}$  is positive definite on the real line. Can also see it via

$$e^{-\frac{(t-s)^2}{2}} = e^{-\frac{t^2}{2}} e^{ts} e^{-\frac{s^2}{2}}$$

## Reproducing kernel Hilbert spaces.

*Definition:* A reproducing kernel Hilbert space  $\mathcal{H}$  is a Hilbert space of **functions** on a set (say  $\Omega$ ), for which the point evaluations are bounded.

By Riesz theorem,  $\exists k_z \in \mathcal{H}$  (notation:  $k(\cdot, z)$ ), called the **reproducing kernel**, such that

$$f(z) = \langle f, k_z \rangle_{\mathcal{H}}, \quad \forall f \in \mathcal{H}.$$

## RK and positive definite functions

There is a one-to-one correspondence between positive definite functions and reproducing kernel Hilbert spaces.

There is a one-to-one correspondence between functions with a finite number of negative squares and reproducing kernel Pontryagin spaces.

There is a onto (but not one-to-one) correspondence between difference of positive definite functions and reproducing kernel Krein spaces (Laurent Schwartz, 1964).

### Definition:

The function  $k(z, w)$  defined for  $z, w \in \Omega$  is factorizable if there is a pre-Hilbert space  $\mathcal{H}$  and a  $\mathcal{H}$ -valued function  $z \mapsto h_z$  such that

$$k(z, w) = \langle h_w, h_z \rangle_{\mathcal{H}}$$

### Theorem:

A function is factorizable if and only if it is positive definite.

### Link with machine learning and support vector machines:

Usually  $z \in \mathbb{R}^n$  is called the feature vector and  $h_z$  is called the feature map and belongs to a larger space, which allows separation of data. One works with the kernel  $k(z, w)$  and not with  $h_z$  to make the computations.



## Stochastic processes.

There is a one-to-one correspondence between covariance of Gaussian centered processes and positive definite functions.

### Example.

Not always easy to recognize if a function is positive definite. Is  $|t|^{2H} + |s|^{2H} - |t - s|^{2H}$  ( $H \in (0, 1)$ ) positive definite on the real line?

$$\begin{aligned} |t|^{2H} + |s|^{2H} - |t - s|^{2H} &= \\ &= c_H \int_0^\infty \frac{(1 - \cos(tu))(1 - \cos(su)) + \sin(tu)\sin(su)}{u^2} \frac{du}{u^{2H-1}}, \end{aligned}$$

where  $c_H > 0$  depends only on  $H$ .

It is the covariance function of the fractional Brownian motion ( $H$  is the Hurst parameter; Brownian motion for  $H = 1/2$ ).

## Remarks:

Not every Hilbert space of functions is a reproducing kernel Hilbert space.

Counterexamples involve the axiom of choice and the fact that a vector space basis in an infinite dimensional Hilbert space is uncountable.

Donoghue and Masani (1983) *A class of invalid assertions concerning function Hilbert spaces:*

Given an infinite dimensional Hilbert space  $(\mathcal{H}, \langle \cdot, \cdot \rangle_{\mathcal{H}})$  and  $x_0 \neq 0 \in \mathcal{H}$ . The map  $x \mapsto \langle x, x_0 \rangle_{\mathcal{H}}$  is continuous with respect to  $\langle \cdot, \cdot \rangle_{\mathcal{H}}$ , but:

There exists an inner product  $(\cdot, \cdot)$  on  $\mathcal{H}$  for which  $\mathcal{H}$  is still a Hilbert space but the map  $x \mapsto \langle x, x_0 \rangle_{\mathcal{H}}$  is not continuous with respect to  $(\cdot, \cdot)$ .

## The Bargmann-Fock-Segal space $\mathcal{F}$ :

The space of entire functions  $f$  such that ( $s = x + iy \in \mathbb{C}$ )

$$\langle f, f \rangle_{\mathcal{F}} = \frac{1}{\pi} \iint_{\mathbb{C}} |f(s)|^2 e^{-|s|^2} dx dy < \infty$$

Then,  $k_z : s \mapsto e^{s\bar{z}} \in \mathcal{F}$  and one has the reproducing kernel property:.

$$\langle f, k_z \rangle_{\mathcal{F}} = f(z), \quad \forall z \in \mathbb{C}$$

## Reproducing kernel:

Point evaluations are bounded.

$z \mapsto e^{z\bar{w}} \in \mathcal{F}$  is the reproducing kernel.

### Analytic characterization:

$$\langle z^n, z^m \rangle = \frac{1}{\pi} \iint_{\mathbb{C}} z^n \overline{z^m} e^{-|z|^2} dx dy = n! \delta_{n,m}, \quad n, m \in \mathbb{N}_0,$$

with  $\delta_{n,m}$  being the Kronecker symbol. So:

$$f(z) = \sum_{n=0}^{\infty} a_n z^n \in \mathcal{F} \iff \sum_{n=0}^{\infty} n! |a_n|^2 < \infty.$$

### Property:

The Fock space can be characterized as the unique space of entire functions where the adjoint of differentiation is multiplication by  $z$ .

$$\partial^* = M_z \quad \text{and} \quad M_z^* = \partial.$$

Motivation from quantum mechanics. Work of Fock in 1928.

## Bargmann transform:

The map

$$B(f)(z) = \frac{1}{\sqrt[4]{\pi}} e^{-\frac{z^2}{2}} \int_{\mathbb{R}} f(u) e^{-\frac{u^2}{2} + \sqrt{2}zu} du$$

is unitary from  $L^2(\mathbb{R}, du)$  onto the Fock space

## Properties:

In the Fock space, annihilation operator is  $\partial$  and creation operator is  $M_z$ . With  $F(z) = B(f)(z)$ , one has:

$$(B(uf(u)))(z) = \frac{F'(z) + zF(z)}{\sqrt{2}} \quad (\text{image of the position operator})$$

$$(B(f'(u)))(z) = \frac{F'(z) - zF(z)}{\sqrt{2}} \quad (\text{image of the momentum operator})$$

## Summary (and roadmap for study of other spaces):

- 1 We have a Hilbert space of functions.
- 2 Point evaluations are bounded.
- 3 Characterized by a function of two variables,  $k(z, w) = e^{z\bar{w}}$ .
- 4 It has geometric and analytic characterizations.
- 5 It has an important motivation (here, quantum mechanics; has also applications in signal processing).
- 6 It can be characterized in terms of a transform.

## Other important examples (just name dropping ...):

Arveson space, de Branges-Rovnyak spaces, Hardy space and applications to signal processing and the theory of linear systems.

## The skew-field of quaternions $\mathbb{H}$ :

elements of the form

$$x = x_0e_0 + x_1e_1 + x_2e_2 + x_3e_3,$$

where the  $x_i$  are real and where  $e_0, e_1, e_2, e_3$  satisfy the rules of multiplication from the Cayley table:

$\nearrow$	$e_0$	$e_1$	$e_2$	$e_3$
$e_0$	$e_0$	$e_1$	$e_2$	$e_3$
$e_1$	$e_1$	$-e_0$	$e_3$	$-e_2$
$e_2$	$e_2$	$-e_3$	$-e_0$	$e_1$
$e_3$	$e_3$	$e_2$	$-e_1$	$-e_0$

## Split-quaternions:

$k_1, k_2$  and  $i$  the basis of the split-quaternions, with multiplication table

$\nearrow$	1	$k_1$	$k_2$	$i$
1	1	$k_1$	$k_2$	$i$
$k_1$	$k_1$	1	$i$	$k_2$
$k_2$	$k_2$	$-i$	1	$-k_1$
$i$	$i$	$-k_2$	$k_1$	$-1$



## Construction:

To build  $\mathbb{H}$ , take

$$e_0 = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \quad e_1 = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \quad e_2 = \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix} \quad \text{and} \quad e_3 = e_1 e_2.$$

$$\mathbb{H} = \left\{ \begin{pmatrix} z_1 & z_2 \\ -\bar{z}_2 & \bar{z}_1 \end{pmatrix}, \quad z_1, z_2 \in \mathbb{C} \right\}$$

## Split quaternions:

$$\mathbb{H}_{\mathbb{R}} = \left\{ \begin{pmatrix} z_1 & z_2 \\ \bar{z}_2 & \bar{z}_1 \end{pmatrix}, \quad z_1, z_2 \in \mathbb{C} \right\}$$

To go to hypercomplex settings:

Need the notion of positivity. In the quaternionic setting easy. Also the spectral theorem for Hermitian matrices hold, and so can define negative squares. Can also use the map

$$\chi(A + e_3 B) = \begin{pmatrix} A & \overline{B} \\ -B & \overline{A} \end{pmatrix}.$$

Remark:

In the split-quaternionic setting, consider the map

$$\psi(A + k_1 B) = \begin{pmatrix} A & \overline{B} \\ B & \overline{A} \end{pmatrix}$$

Two natural adjoints there, namely corresponding to  $\psi(M)^*$  and  $J_0 \psi(M)^* J_0$ ,  $J_0 = \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix}$  (indefinite inner product on the coefficient space). The second one will lead to reproducing kernel Krein spaces.

Recall that an analytic function is a complex-valued function solution of  $\partial f = 0$  with  $\partial = \frac{\partial}{\partial x} + i \frac{\partial}{\partial y}$ . Furthermore, with  $\bar{\partial} = \frac{\partial}{\partial x} - i \frac{\partial}{\partial y}$  we have:  $\partial \bar{\partial} = \bar{\partial} \partial = \Delta_{\mathbb{R}^2}$ .

### Hyper-analytic functions:

Left hyper-analytic (or hyper-holomorphic) functions are quaternionic-valued functions solutions of  $Df = 0$  where

$$D = \frac{\partial}{\partial x_0} + e_1 \frac{\partial}{\partial x_1} + e_2 \frac{\partial}{\partial x_2} + e_3 \frac{\partial}{\partial x_3}.$$

(we will say hyper-analytic rather than left hyper-analytic).

With

$$\bar{D} = \frac{\partial}{\partial x_0} - e_1 \frac{\partial}{\partial x_1} - e_2 \frac{\partial}{\partial x_2} - e_3 \frac{\partial}{\partial x_3}$$

we have the factorization of the Laplacian:

$$D\bar{D} = \bar{D}D = \Delta_{\mathbb{R}^4}.$$

We identify  $\mathbb{H} = \mathbb{C}^2$  via  $e_1 = i$  and

$$x = z_1 + z_2 e_2$$

with  $z_1 = x_0 + ix_1$  and  $z_2 = x_2 + ix_3$ . Note that for  $z \in \mathbb{C}$ ,

$$ze_2 = e_2 \bar{z}.$$

Write  $f(x) = f_1(z_1, z_2) + e_2 f_2(z_1, z_2)$ .

Hyper-analyticity is equivalent to the Cauchy–Riemann type equations:

$$\frac{\partial f_1}{\partial z_1} = \frac{\partial f_2}{\partial z_2},$$

$$\frac{\partial f_1}{\partial z_2} = -\frac{\partial f_2}{\partial z_1}.$$

- 1 The product of two hyper-analytic functions need not be hyper-analytic.
- 2 The quaternionic variable

$$x = x_0 + x_1e_1 + x_2e_2 + x_3e_3$$

is not hyper-analytic (it is slice hyper-analytic).

### Fueter variables:

The functions  $\zeta_\ell(x) = x_j - e_\ell x_0$   $\ell = 1, 2, 3$  are hyper-analytic and called the Fueter (or hyper-analytic) variables.

They were introduced by Fueter (1936). They do not commute and do not play the role of independent variables, but  $z_\ell$  “corresponds” to  $\zeta_\ell$  in some sense.

Gleason's problem for hyper-analytic functions (after A-Shapiro-Volok, JFA 2005):

$$f(x) - f(0) = \sum_{\ell=1}^3 (x_\ell - x_0 e_\ell) \int_0^1 \frac{\partial}{\partial x_\ell} f(tx) dt.$$

The chain rule gives

$$\frac{d}{dt} f(tx) = \sum_{\ell=0}^3 x_\ell \frac{\partial f}{\partial x_\ell} (tx).$$

Since the function is left hyper-holomorphic,

$$\frac{\partial f}{\partial x_0} = -e_1 \frac{\partial}{\partial x_1} f - e_2 \frac{\partial}{\partial x_2} f - e_3 \frac{\partial}{\partial x_3} f.$$

Replacing  $\frac{\partial f}{\partial x_0}$  by this expression we obtain

$$\frac{d}{dt} f(tx) = \sum_{\ell=1}^3 (x_\ell - x_0 e_\ell) \frac{\partial f}{\partial x_\ell} (tx).$$

Iterating the formula

$$f(x) - f(0) = \sum_{\ell=1}^3 (x_{\ell} - x_0 e_{\ell}) \int_0^1 \frac{\partial}{\partial x_{\ell}} f(tx) dt$$

one obtains the development of a left hyper-analytic function in a series of Fueter polynomials.

$$f(x) = \sum_{\nu \in \mathbb{N}_0^3} \zeta^{\nu}(x) f_{\nu}, \quad f_{\nu} \in \mathbb{H},$$

where  $\zeta^{\nu}(x) = \zeta_1(x)^{\nu_1} \times \zeta_2(x)^{\nu_2} \times \zeta_3(x)^{\nu_3}$  and the symmetrized product of  $a_1, \dots, a_n \in \mathbb{H}$  is defined by

$$a_1 \times a_2 \times \dots \times a_n = \frac{1}{n!} \sum_{\sigma \in S_n} a_{\sigma(1)} a_{\sigma(2)} \dots a_{\sigma(n)}$$

where  $S_n$  is the set of all permutations of the set  $\{1, \dots, n\}$ .

Same method works for split quaternions and ternary algebras. General approach in A-Paiva-Struppa, Israel Journal Math, 2020.

$z^n = z_1^{n_1} z_2^{n_2} \dots$  in SCV is replaced by  $\zeta^{\times\alpha}$ .

Definition (F. Sommen, 1988):

The Cauchy–Kovalevskaya product  $f \odot g$  of the hyper-analytic functions

$$f = \sum_{\nu \in \mathbb{N}_0^3} \zeta^\nu f_\nu, \quad g = \sum_{\nu \in \mathbb{N}_0^3} \zeta^\nu g_\nu$$

is defined by (convolution on the coefficients)

$$f \odot g = \sum_{\eta \in \mathbb{N}_0^3} \zeta^\eta \sum_{0 \leq \nu \leq \eta} f_\nu g_{\eta-\nu}.$$

It is by construction hyper-analytic at the origin.

Why is it called Cauchy–Kovalevskaya product? Other definition in terms of the Cauchy–Kovalevskaya extension theorem from PDEs.



## Theorem (the quaternionic Arveson space):

The function

$$\begin{aligned} k_y(x) &= (1 - \zeta_1(x)\overline{\zeta_1(y)} - \zeta_2(x)\overline{\zeta_2(y)} - \zeta_3(x)\overline{\zeta_3(y)})^{-\odot} \\ &= \sum_{\nu \in \mathbb{N}_0^3} \frac{|\nu|!}{\nu!} \zeta^\nu(x)\overline{\zeta^\nu(y)} \end{aligned}$$

is positive definite in the ellipsoid

$\Omega = \{x \in \mathbb{H} \mid 3x_0^2 + x_1^2 + x_2^2 + x_3^2 < 1\}$ . The reproducing kernel right-Hilbert space  $\mathbb{H}(k)$  with reproducing kernel  $k_y(x)$  is the set of functions of the form

$$f(x) = \sum_{n=0}^{\infty} \sum_{|\nu|=n} \zeta^\nu(x) f_\nu,$$

endowed with the  $\mathbb{H}$ -valued inner product

$$\langle f, g \rangle = \sum_{\nu \in \mathbb{N}_0^3} \frac{\nu!}{|\nu|!} \overline{g_\nu} f_\nu.$$

## Identity:

Let

$$\mathcal{M}_{\zeta_n} f = \zeta_n \odot f, \quad n = 1, 2, 3$$

and let

$\mathcal{C} : \mathbb{H}(k) \mapsto \mathbb{H}$  be the operator of evaluation at the origin:  $\mathcal{C}f = f(0)$ .

Then,

$$I - \mathcal{M}_{\zeta_1} \mathcal{M}_{\zeta_1}^* - \mathcal{M}_{\zeta_2} \mathcal{M}_{\zeta_2}^* - \mathcal{M}_{\zeta_3} \mathcal{M}_{\zeta_3}^* = \mathcal{C}^* \mathcal{C}$$

and, with  $\mathcal{R}_n f(x) = \int_0^1 \frac{\partial}{\partial x_\ell} f(tx) dt$ ,

$$\mathcal{M}_{\zeta_j}^* = \mathcal{R}_j.$$

de Branges Rovnyak spaces:

Function  $s(x)$ , left hyperholomorphic in  $\Omega$ , is a Schur function if the C-K multiplication operator

$$M_s f = s \odot f$$

is a contraction from  $\mathcal{H}(k)$  into itself.

Hence  $s(x)$  is a Schur function iff the kernel

$$\begin{aligned} k_s(x, y) &= ((I - M_s M_s^*) k_y)(x) \\ &= \sum_{\nu \in \mathbb{N}_0^3} \frac{|\nu|!}{\nu!} \left( \zeta^\nu(x) \overline{\zeta^\nu(y)} - (s \odot \zeta^\nu)(x) \overline{(s \odot \zeta^\nu)(y)} \right) \end{aligned}$$

is positive definite.

Blaschke factor (after Rudin):

Let  $a \in \Omega = \{x \in \mathbb{H} \mid 3x_0^2 + x_1^2 + x_2^2 + x_3^2 < 1\}$ .

$$B_a = (1 - \zeta(a)\zeta(a)^*)^{\frac{1}{2}} (1 - \zeta\zeta(a)^*)^{-\odot} \odot (\zeta - \zeta(a)) (I - \zeta(a)^*\zeta(a))^{-\frac{1}{2}}$$

$k_1, k_2$  and  $i$  the basis of the split-quaternions, with multiplication table

$\nearrow$	1	$k_1$	$k_2$	$i$
1	1	$k_1$	$k_2$	$i$
$k_1$	$k_1$	1	$i$	$k_2$
$k_2$	$k_2$	$-i$	1	$-k_1$
$i$	$i$	$-k_2$	$k_1$	$-1$

Define

$$\nabla_{\mathbb{R}}^+ = \frac{\partial}{\partial x_0} - k_1 \frac{\partial}{\partial x_1} - k_2 \frac{\partial}{\partial x_2} + i \frac{\partial}{\partial x_3},$$

and

$$\nabla_{\mathbb{R}} = \frac{\partial}{\partial x_0} + k_1 \frac{\partial}{\partial x_1} + k_2 \frac{\partial}{\partial x_2} - i \frac{\partial}{\partial x_3}$$

$$\nabla_{\mathbb{R}}^+ \nabla_{\mathbb{R}} = \nabla_{\mathbb{R}} \nabla_{\mathbb{R}}^+ = \frac{\partial^2}{\partial x_0^2} - \frac{\partial^2}{\partial x_1^2} - \frac{\partial^2}{\partial x_2^2} + \frac{\partial^2}{\partial x_3^2}.$$

Solutions of the ultra-hyperbolic wave equation  $\nabla_{\mathbb{R}}^+ \nabla_{\mathbb{R}} f = 0$  need not be smooth, let-alone real-analytic. A  $\mathbb{H}_{\mathbb{R}}$ -valued real-analytic function  $f$  will be called left-regular if  $\nabla_{\mathbb{R}}^+ f = 0$ .

## Gleason type decomposition.

Let

$$(R_u f)(x) = \int_0^1 \frac{\partial}{\partial x_u} f(tx) dt, \quad u = 0, 1, 2, 3.$$

Let  $f$  be a left-regular function in a neighborhood of the origin. Then

$$f(x) - f(0) = \sum_{u=1}^3 \zeta_u(x)(R_u f)(x).$$

with

$$\zeta_1(x) = x_1 + x_0 k_1$$

$$\zeta_2(x) = x_2 + x_0 k_2$$

$$\zeta_3(x) = x_3 - x_0 i.$$

(left-regular; these functions are in fact the building blocks of all functions left-regular in a neighborhood of the origin).

## Slice hyperholomorphic functions.

Let  $\Omega \subseteq \mathbb{H}$  be an open set and let  $f : \Omega \rightarrow \mathbb{H}$  be a real differentiable function. Let  $I \in \mathbb{S} = \{q \in \mathbb{H}; q^2 = -1\}$  and let  $f_I$  be the restriction of  $f$  to the complex plane  $\mathbb{C}_I := \mathbb{R} + I\mathbb{R}$  passing through 1 and  $I$  and denote by  $x + Iy$  an element on  $\mathbb{C}_I$ . We say that  $f$  is a left slice regular function if, for every  $I \in \mathbb{S}$ , we have:

$$\frac{1}{2} \left( \frac{\partial}{\partial x} + I \frac{\partial}{\partial y} \right) f_I(x + Iy) = 0.$$

## Example:

The quaternionic variables and its powers are slice hyperholomorphic.

## Product:

Pointwise product does not keep slice hyperholomorphy, but there is another product, which reduces to convolution on coefficients for power series, which keeps slice hyperholomorphy.

Let  $V$  be a two sided quaternionic linear space,  $\mathcal{B}(V)$  denote the space of bounded right linear operators.

### Definition

Let  $A \in \mathcal{B}(V)$ . We define the  $S$ -spectrum  $\sigma_S(A)$  as  $\sigma_S(A) = \{r \in \mathbb{H} : A^2 - 2\text{Re}(r)A + |r|^2I \text{ is not invertible in } \mathcal{B}(V)\}$ , and the  $S$ -resolvent set  $\rho_S(A) = \mathbb{H} \setminus \sigma_S(A)$ .

### Definition

Let  $A \in \mathcal{B}(V)$  and  $r \in \rho_S(A)$ . We define the right  $S$ -resolvent operator as  $S_R^{-1}(r, A) := -(A - I\bar{r})(A^2 - 2\text{Re}(r)A + |r|^2I)^{-1}$ .

### Proposition

Let  $A \in \mathcal{B}(V)$ . Then, for  $|p| \|A\| < 1$  we have

$$\sum_{n=0}^{\infty} p^n A^n = p^{-1} S_R^{-1}(p^{-1}, A) = (I - \bar{p}A)(|p|^2 A^2 - 2\text{Re}(p)A + I)^{-1}.$$

Let  $H_2(\mathbb{B})$  be the Hardy space of the unit ball  $\mathbb{B} \subset \mathbb{H}$ :

$$H_2(\mathbb{B}) = \left\{ f(p) = \sum_{n \geq 0} p^n a_n \mid \sum_{n \geq 0} |a_n|^2 < \infty \right\}$$

de Branges Rovnyak spaces.

Let  $S : \mathbb{B} \rightarrow \mathbb{H}$ . The following are equivalent:

- (1)  $S$  is a Schur function.
- (2) The operator  $M_S$  of slice regular left multiplication by  $S$

$$M_S : f \mapsto S \star f$$

is a contraction on  $H_2(\mathbb{B})$ .

- (3) The kernel

$$K_S(p, q) = \sum_{k=0}^{\infty} p^k (1 - S(p) \overline{S(q)}) \bar{q}^k$$

is positive on  $\mathbb{B} \times \mathbb{B}$ .



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